

**eZ-Audit**  
**Use-Case Specification 23: Create Determination for**  
**Financial Statement**

**Version 1.1**

eZ-Audit	Version: 1.1
Create Determination for Financial Statement	Date: 08/05/2002
Use Case 23	

## Revision History

Date	Version	Description	Author
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08-05-2002	1.1	Revised version created for deliverable re-submission	Matt Portolese

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# Use-Case Specification 23: Create Determination for Financial Statement

## Create Determination for Financial Statement

### 1. Create Determination for Financial Statement

#### 1.1 Brief Description

After Financial Analyst actor completes his/her analysis of the financial statement, the actor must record his/her determination and create appropriate documents for that determination. The purpose of this use case is to address Financial Analyst's ability to create his/her determination and upload "Request for LOC" PDF file or "Zone Letter" PDF file the eZ-Audit system.

### 2. Flow of Events

#### 2.1 Basic Flow

##### 1) Financial Analyst actor logs into the system

The System verifies the user's role of "Financial Analyst" through the Log-In Process. See Extension Point - Use Case 3 for details of the Log In Process.

##### 2) System displays Financial Analyst actor's Home Page

See Extension Point – Use Case 15 for details of the Financial Analyst Home Page.

##### 3) Financial Analyst actor selects to view a financial statement

See Extension Point – Use Case 15 for details of the selecting a particular institution record.

##### 4) System displays information for the selected financial statement

See Extension Point – Use Case 15 for details of the selecting a particular institution record.

##### 5) Financial Analyst actor selects Create Determination function

Financial Analyst actor selects Create Determination function.

##### 6) System displays Determination page

System displays Determination page.

There is Determination drop field on this page. Determination field is a drop-down box and has following value, out of which Financial Analyst can select only one:

1. Letter of Credit
2. Zone-Heightened-Cash Monitoring 1
3. Zone-Heightened-Cash Monitoring 2
4. Reimbursement
5. Without condition
6. AAA Referral.
7. Denial

There is Determination Date on this page. The value is populate by the system as today's date.

(Basic Flow in this use case describes the process for when the actor selects Letter of Credit as

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determination. What happens when the actor selects other determinations is described in alternative flows).

**7) Financial Analyst actor selects determination “Letter Of Credit”**

Financial Analyst actor selects determination “Letter Of Credit” in the Determination drop-down box.

**8) System displays Determination page with appropriate upload fields**

System displays Determination page.

On the top of the page are displayed Determination, which Financial Analyst actor has selected in Step 7, and Determination date.

On the bottom of the page are displayed following fields:

File (text box; file can be selected by using the Browse button next to the File field)

There is a Browse option next to the File field.

Amount (text box)

% Funding (text box)

Request Date (text box)

Reason Requested (text box)

There is a Save option.

**9) Financial Analyst actor selects Browse option**

Financial Analyst selects Browse option in order to select the file he/she wants to upload.

**10) System displays Choose File window**

System displays Choose File window.

**11) Financial Analyst actor selects the file and chooses Open function**

Financial Analyst navigates through files and selects the one that he/she wants to upload. Next, Financial Analyst selects Open function.

**12) System displays the location path of the selected file in the File field**

System displays the location path of the selected file in the File field.

**13) Financial Analyst actor enters values in requested fields and selects Save function**

Financial Analyst enters values in the following fields:

Amount (text box)

% Funding (text box) (not required)

Request Date (text box)

Reason Requested (text box)

Financial Analyst selects Save function.

**14) System displays Determination Page**

System displays Determination page. There is a link to the uploaded Request for LOC PDF file.

Information that Financial Analyst entered in Step 13 is displayed and locked for editing.

There is a Modify option on this page. (By selecting Modify option actor is taken back to the Determination page with data entry fields, where the user can make any necessary pages).

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## 2.2 Alternative Flows

### 2.2.1 Financial Analyst actor selects Save option before selecting Request for LOC file to be uploaded

**Steps 1 – 8 are same as in the Basic Flow.**

#### 9) Financial Analyst actor enters values in requested fields and selects Save function

Financial Analyst enters values in the following fields:

- Amount (text box)
- % Funding (text box)
- Request Date (text box)
- Reason Requested (text box)

Financial Analyst selects Save function.

#### 10) System displays an error message

System displays an error message informing the user that he/she needs to select the Request for LOC PDF file and then select the Save function.

Following steps are same as steps 8 –14 in the Basic Flow.

### 2.2.2 Financial Analyst actor selects determination “Cash Monitoring 1” or “Cash Monitoring 2”

**Steps 1 – 6 are same as in the Basic Flow.**

#### 7) Financial Analyst actor selects determination “Cash Monitoring 1” or “Cash Monitoring 2”

Financial Analyst actor selects determination “Cash Monitoring 1” or “Cash Monitoring 2” in the Determination drop-down box.

#### 8) System displays Determination page with appropriate upload fields

System displays Determination page.

On the top of the page are displayed Determination, which Financial Analyst actor has selected in Step 7, and Determination date.

On the bottom of the page are displayed following fields:

- File (text box; file can be selected by using the Browse button next to the File field)
- There is a Browse option next to the File field.
- Reply Due Date

#### 9) Financial Analyst actor selects Browse option

Financial Analyst selects Browse option in order to select the file he/she wants to upload.

#### 10) System displays Choose File window

System displays Choose File window.

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**11) Financial Analyst actor selects the file and chooses Open function**

Financial Analyst navigates through files and selects the one that he/she wants to upload. Next, Financial Analyst selects Open function.

**12) System displays the location path of the selected file in the File field**

System displays the location path of the selected file in the File field.

**13) Financial Analyst actor enters values in requested fields and selects Save function**

Financial Analyst enters values in the Reply Due Date field (except for HCM status).  
Financial Analyst selects Save function.

**14) System displays Determination Page**

System displays Determination page. There is a link to the uploaded Zone Letter PDF file. Information that Financial Analyst entered in Step 13 is displayed and locked for editing.  
There is a Modify option on this page. (By selecting Modify option actor is taken back to the Determination page with data entry fields, where the user can make any necessary pages).

**2.2.3** *Financial Analyst actor selects determination “Reimbursement”, “Without condition”, or “AAA referral”*

**Steps 1 – 6 are same as in the Basic Flow.**

**7) Financial Analyst actor selects determination “Reimbursement”, “Without condition”, “AAA referral”, or “Denial”**

Financial Analyst actor selects determination “Reimbursement”, “Without condition”, “AAA referral” or “Denial” in the Determination drop-down box

**8) System displays Determination page with appropriate upload fields**

System displays Determination page.

On the top of the page are displayed Determination, which Financial Analyst actor has selected in Step 7, and Determination date.

There are two buttons displayed with this determination. The first is a ‘Save’ button, which saves the entry. The second is a button labeled “Review of this submission is completed” which removes the entry from the queue.

**9) Financial Analyst actor selects “Review of the submission is completed” button**

Financial Analyst actor clicks the button.

**10) System completes the submission and removes from the queue**

The system archives the submission and returns the user to their home page. The submission has been removed from the queue.

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### 3. Special Requirements

No special requirements for this use case

### 4. Preconditions

4.1 Financial Analyst is logged in the eZ-Audit system

4.2 Financial Analyst has rights to Create Determination

### 5. Postconditions

5.1 Determination is created and ready to be sent to Co-Team leader for approval

### 6. Extension Points

#### 6.1 List of requirements related to this use case

GEN898: The system will provide the financial analysts the ability to make determination by selecting one of the following - LOC, Cash Monitoring 1, Cash Monitoring 2, Reimb., Without condition, AAA Referral.

GEN906: The system will provide a field for the case team member to enter Amount in the Request for LOC sections.

GEN907: The system will provide a field for the case team member to enter % Funding in the Request for LOC sections.

GEN908: The system will provide a field for the case team member to enter Request Date in the Request for LOC sections.

GEN909: The system will provide a field for the case team member to enter Reason Requested in the Request for LOC sections.

Acceptance of the Use Case as written will require modification and CCB Review of the Following Requirements:

*GEN917: The system will provide a field for the case team member to enter Analyst ID in LOC section.*

*GEN918: The system will provide a field for the case team member to enter Analyst Name in LOC section.*

*GEN925: The system will generate a standardized text template for the Request for Letter of Credit (LOC).*

*GEN112: The System will generate a standardized text template for Zone/Cash Monitoring Letter.*

#### 6.2 Use Case 3 "Login to System"

This use case provides a detailed explanation of the Login process.

#### 6.3 Use Case 15 "Select an Institution"

This use case explains the Case Team User's ability to see their assigned queue and select an institution.